

Docket No. 212706US

IN RE APPLICATION OF: Kazuhiro SHIMIZU, et al.

SERIAL NO: 09/925,418

FILED: August 10, 2001

FOR: NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE HAVING MEMORY CELL ARRAY  
SUITABLE FOR HIGH DENSITY AND HIGH INTEGRATION

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: With Marked-Up Copy, Letter Requesting Approval of Drawing Changes  
Drawing (1), Substitute Formal Drawing (1)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	18	MINUS	20	0	x \$18 =	\$0.00
INDEPENDENT	4	MINUS	4	0	x \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

- ☐ A check in the amount of **\$0.00** is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Gregory J. Maier

Registration No. 25,599

Michael R. Casey, Ph.D.

Registration No. 34,423

Surinder Sachar  
Registration No. 34,423



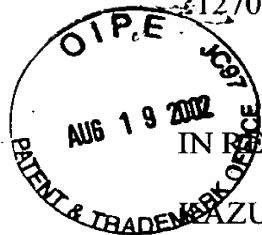
22850

Customer Number 22850  
Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/01)

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

YASUHIRO SHIMIZU, ET AL.

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EXAMINER: WEISS, H.

SERIAL NO: 09/925,418

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FILED: AUGUST 10, 2001

GROUP ART UNIT: 2814

FOR: NON-VOLATILE  
SEMICONDUCTOR MEMORY  
DEVICE HAVING MEMORY  
CELL ARRAY SUITABLE FOR  
HIGH DENSITY AND HIGH  
INTEGRATION

:

#9 New Drugs.  
M. Brunson  
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**LETTER REQUESTING APPROVAL OF DRAWING CHANGES  
AND ENTRY OF SUBSTITUTE DRAWINGS**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Please review for approval the proposed changes to the Formal Drawings shown in **RED** on the attached photocopies of the drawings. In addition, please review the attached substitute FIG. 7B for entry as a replacement for the original FIG.7B in the above-identified application.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Gregory J. Maier  
Attorney of Record  
Registration No. 25,599  
Michael R. Casey, Ph.D.  
Registration No. 40,294



**22850**

Surinder Sachar  
Registration No. 34,423

(703) 413-3000  
Fax #:(703)413-2220  
/sy